

Business rules FATCA V. 01/02/2018

XML Schema v2.0 User Guide	Element	Attribute	Size	InputType	Requirement	BUSINESS RULES	TYPE ERROR ACK	Xpath
3. MessageSpec	FATCA_OECD	Version	Max 10	xsd: string	Mandatory	Mandatory = Validation	<i>WrongVersion</i>	ftc:FATCA_OECD/Version = « 2.0 »
3.1	SendingCompanyIN		19-characters GIIN format	xsd: string	Mandatory	Mandatory = Validation and must be 19-digit ( <b>Expected structure = XXXXXX.XXXXX.XX.056</b> )	<i>NoGIIN // GIINNotValid</i>	ftc:FATCA_OECD/ftc:MessageSpec/sfa:SendingCompanyIN
3.1	TransmittingCountry		2 characters	iso:CountryCode_Type	Required	BE	<i>TransCtryNotBE</i>	ftc:FATCA_OECD/ftc:MessageSpec/sfa:TransmittingCountry
3.2	ReceivingCountry		2 characters	iso:CountryCode_Type	Required	US	<i>ReceivCtryNotUS</i>	ftc:FATCA_OECD/ftc:MessageSpec/sfa:ReceivingCountry
3.3	MessageType			sfa:MessageType_EnumType	Required	FATCA	<i>XMLNotValid</i>	ftc:FATCA_OECD/ftc:MessageSpec/sfa:MessageType
3.6	MessageRefID		Max. length = 200 characters	xsd: string	Required	<ul style="list-style-type: none"> <li>• Structuring the MessageId in the following format: [BE] [XXXXXXXXXX]_[YYYY-MM-DD]_[HH-MM-SS] where:                             <ul style="list-style-type: none"> <li>o [XXXXXXXXXX] is the number BCE/KBO (Crossroads Bank for Enterprises) of the paying agent,</li> <li>o YYYY: year,</li> <li>o MM: month,</li> <li>o DD: day sending / file generation FATCA</li> <li>o HH-MM-SS: respectively, hours, minutes, seconds FATCA which the file was sent / generated</li> </ul> </li> </ul>	<i>MesRefIDNotVal // MesIDNotUniq</i>	ftc:FATCA_OECD/ftc:MessageSpec/sfa:MessageRefID
3.8	ReportingPeriod			xsd:data	Required	Calendar Year to which the message relates (YYYY-MM-DD) ( <b>this data element must be the same as the reporting year indicated in MyMinFin</b> )	<i>ReportPerNotOk</i>	ftc:FATCA_OECD/ftc:MessageSpec/sfa:ReportingPeriod

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4.2.1 DocTypeIndic	DocTypeIndic			ftc:FatcaDocTypeIndic_EnumType	Required	<p>Must be one of:</p> <ul style="list-style-type: none"> <li>FATCA1 = New Data</li> <li>FATCA2 = Corrected Data (in response to a record-level error NTF to correct data)</li> <li>FATCA3 = Void Data (in response to a specific type of record-level error NTF)</li> <li>FATCA4 = Amended Data (to spontaneously replace or amend data not in response to an error NTF)</li> <li>FATCA11 = New Test Data</li> <li>FATCA12 = Corrected Test Data</li> <li>FATCA13 = Void Test Data</li> <li>FATCA14 = Amended Test Data</li> </ul> <p><b>Only one type of data should be contained within any single message.</b></p>	DocTypeNotNew //DocTypeNotConsistent	<p><b>Reporting FI:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingFI/ftc:DocSpec/ftc:DocTypeIndic</p> <p><b>if present Sponsor:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Sponsor/ftc:DocSpec/ftc:DocTypeIndic</p> <p><b>if present Intermediary:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Intermediary/ftc:DocSpec/ftc:DocTypeIndic</p> <p><b>AccountReport:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:DocSpec/ftc:DocTypeIndic</p> <p>PoolReport: ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:PoolReport/ftc:DocSpec/ftc:DocTypeIndic</p>
4.2.2 DocRefId	DocRefId		Min 21 characters and Max. 200 Characters	xsd:string	Required	<p><b>DocRef</b> : &lt;Reporting FI GIIN&gt;.&lt;GUID&gt; where :</p> <ul style="list-style-type: none"> <li>&lt;Reporting FI GIIN&gt; : is the GIIN for the reporting FI associated with the reporting group.</li> <li>(.) : is a Period character</li> <li>&lt;GUID&gt; : is a globally unique identifier</li> </ul> <p><b>This data element must be a unique identifier of the specific report it is contained in.</b></p>	DocRefIDNotVal // DocRefIDNotUniq	<p><b>Reporting FI:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingFI/ftc:DocSpec/ftc:DocRefId</p> <p><b>if present Sponsor:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Sponsor/ftc:DocSpec/ftc:DocRefId</p> <p><b>if present Intermediary:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Intermediary/ftc:DocSpec/ftc:DocRefId</p> <p><b>AccountReport :</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:DocSpec/ftc:DocRefId</p>
4.3. Address Type	CountryCode		2 characters	iso:CountryCode_Type	Mandatory	Mandatory = Validation	XMLNotValid	<p><b>Reporting FI</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingFI/sfa:Address/sfa:AddressFix/sfa:City</p> <p><b>Sponsor (if present)</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Sponsor/sfa:Address/sfa:AddressFix/sfa:City</p> <p><b>Intermediary (if Present)</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Sponsor/sfa:Address/sfa:AddressFix/sfa:City</p> <p><b>AccountHolder</b> Individual: ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:AccountHolder/ftc:Individual/sfa:Address/sfa:AddressFix/sfa:City</p> <p>Organisation: ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:AccountHolder/ftc:Organisation/sfa:Address/sfa:AddressFix/sfa:City</p> <p><b>SubstantialOwner (if present)</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:SubstantialOwner/sfa:Address/sfa:AddressFix/sfa:City</p>
4.3.1 CountryCode								
4.3.2 Address Free	AddressFree		Max 4000	xsd:string	Optional	AddressFree cannot be used alone => <b>AddressFix is a mandatory element</b>	NoAddressFix	

XML Schema v2.0 User Guide	Element	Attribute	Size	InputType	Requirement	BUSINESS RULES	TYPE ERROR ACK	Xpath
4.4. PersonParty_Type	TIN			sfa:TIN_Type	Optional	Either TIN (different from US TIN) or BirthInfo (with the element BirthDate present) must be indicated when available in the records of the Reporting FI.		<b>AccountHolder (individual)</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:AccountHolder/ftc:Individual/sfa:TIN ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:AccountHolder/ftc:Individual/sfa:BirthInfo/sfa:BirthDate <b>SubstantialOwner (if present)</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:SubstantialOwner/ftc:Individual/sfa:TIN ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:SubstantialOwner/ftc:Individual/sfa:BirthInfo/sfa:BirthDate
4.4.2 TIN	TIN			sfa : TIN_Type	Mandatory	TIN US must always be completed and must respect the following format : «XXXXXXXXX» with X = numeric digit. If TIN US is not available, this field may not be left blank. If an AccountHolder/SubstantialOwner does not have a TIN, enter <b>9 Capital A's</b> in the TIN data sub-element : «AAAAAAAAA».	NoTINUS	
	TIN	IssuedBy	2 digit	Iso: CountryCode_Type	Optional	Mandatory if TIN is completed and = US (cfr TIN used by the receiving country)	TINNoIssuedBy	
4.4.5 BirthInfo	BirthInfo				Optional	Either TIN (different from US TIN) or BirthInfo (with the element BirthDate present) must be indicated when available in the records of the Reporting FI.		
4.5 OrganisationParty_Type	TIN			sfa:TIN_Type	Mandatory	Mandatory = Validation	NoTIN	<b>Reporting FI</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingFI/sfa:TIN
4.5.2 TIN	TIN		Min 1 char	Sfa : TIN_Type	Mandatory	Mandatory = Validation <b>- US TIN : The TIN of the Reporting FI is the Global Intermediary Identification number (GIIN) cfr FATCA XML 1.1 User Guide</b> <b>- BE TIN : The BE TIN ( BE Company number 0XXX.XXX.XXX) of the Reporting FI must also be provided</b>	TINFINoGIIN // NoMatchFilerTIN	
	TIN	issuedBy	2 digits	iso:Country Code_Type	Mandatory	Mandatory if TIN is completed (cfr. Taxpayer Identification Number issued by that country)	TINNoIssuedBy	
4.6.1 FilerCategory	FilerCategory			ftc :FatcaFilerCategory_EnumType	Optional	<b>This data element is Mandatory for the ReportingFI is it is not a Sponsored FFI, Sponsored Direct Reporting NFFE or Trustee-Documented Trust.</b> <b>Permitted values at the level of ReportingFI :</b> <ul style="list-style-type: none"> <li>FATCA601 : PFFI</li> <li>FATCA602 : RDC FFI (including a model 1IGA FFI)</li> <li>FATCA603 : Limited Branch or Limited FFI</li> <li>FATCA604 : Reporting Model 2 FFI</li> <li>FATCA605 : Qualified Intermediary (QI) ; Withholding Foreign Partnership (WP) or Withholding Foreign Trust (WT)</li> <li>FATCA606 : Direct Reporting NFFE</li> <li>FATCA610 : Withholding Agent</li> <li>FATCA611 : Territory Financial Institution treated as a US PERSON</li> </ul> <b>If the FATCA report contains a SponsoringEntity. The filer category element is not allowed on the ReportingFI element but needs to be associated with the Sponsor element.</b> <b>Permitted values at the level of Sponsor :</b>	NoFilerCategoryType // SponsorFilerCategory/NotPresent // IntefilerCategoryNotAllowed	<b>Reporting FI</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingFI/ftc : FilerCategory <b>Sponsor (if present)</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Sponsor/ftc :FilerCategory <b>Intermediary (if Present)</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Intermediary/ftc : FilerCategory

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						<ul style="list-style-type: none"> <li>FATCA607 : Sponsoring Entity of a Sponsored FFI</li> <li>FATCA608 : Sponsoring Entity of a Sponsored Direct Reporting NFFE</li> <li>FATCA609 : Trustee of a Trustee-Documented Trust</li> </ul> <p>The element <b>FilerCategory</b> is <u>not allowed at the level of Intermediary</u></p>		
6.1 Sponsor	TIN			sfa:TIN_Type	<b>Mandatory</b>	<p><b>Mandatory = Validation</b></p> <p>- <b>BE TIN</b> : Mandatory when the file is send by a Sponsoring entity and the sponsor element is thus present in the ReportingGroup</p> <p>- <b>US TIN</b> : The TIN of a Sponsoring Entity is the GIIN issued to such entity when it is acting in its capacity as Sponsoring Entity.</p>	NoMatchFilerTIN	<b>Sponsor (if present)</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Sponsor/sfa:TIN
6.2 Intermediary	TIN			sfa : TIN_Type	<b>Mandatory</b>	<p>TIN US must always be completed and must respect the following format : «XXXXXXXXXX» with X = numeric digit for the <u>Intermediary EIN</u> or «XXXXXXXX.XX.XXX» for the <u>Intermediary GIIN</u>.</p> <p>If TIN US is not available, this field may not be left blank. If an <u>Intermediary</u> does not have a US TIN, enter <b>9 capital A's</b> in the TIN data sub-element : «AAAAAAAAA».</p>	Intermediary NoTINUS	<b>Intermediary (if Present)</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Intermediary/sfa:TIN
6.4.5.1 Substantial/Organisation 4.5 AccountHolder/Organisation	TIN			sfa : TIN_Type	<b>Mandatory</b>	<p>TIN US must always be completed and must respect the following format : «XXXXXXXXXX» with X = numeric digit for the <u>AccountHolder/SubstantialOwner (organisation)</u> .</p> <p>If TIN US is not available, this field may not be left blank. If an <u>AccountHolder/SubstantialOwner (organisation)</u> does not have a US TIN, enter <b>9 capital A's</b> in the TIN data sub-element : «AAAAAAAAA».</p>	NoTINUS	<b>AccountHolder (organisation)</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:AccountHolder/ftc:Organisation/sfa:TIN <b>SubstantialOwner (organisation)</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:SubstantialOwner/ftc :Organisation/sfa:TIN
	TIN	issuedBy	2 digits	iso:Country Code_Type	<b>Optional</b>	Mandatory if TIN is completed (cfr. Taxpayer Identification Number issued by that country)	TINNotIssuedBy	

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6.4 Account Report						<b>This element (section) is mandatory for each record</b>	NoAccountReport	ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport
6.4.4.3 AccountHolderOrganisationPartyType  6.4.4.3 AcctHolderType	AcctHolderType			ftc:FatcaAcctHolderType_EnumType	Mandatory	If present, must be one of: 1. FATCA101 = Owner-Documented FI with specified US owner(s) 2. FATCA102 = Passive Non-Financial Entity with substantial US owner(s) 3. FATCA103 = Non-Participating FFI 4. FATCA104 = Specified US Person 5. FATCA105 = Direct Reporting NFFE <b>6.FATCA106 = For US Government use only</b>  Starting from the ReportingPeriod 31/12/2016 and later, FATCA105 (AcctHolderType) can be used only if the FilerCategoryType is FATCA606 (= DirectReporting NFFE).	XMLNotValid//AcctHolderTypeValueNotAllowed//AcctHolderTypeNotConsistent	ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:AccountHolder/ftc:AcctHolderType
6.4.5.1 Substantial Owner/Individual and Organisation	Substantial Owner			ftc : SubstantialOwner_type	Mandatory*	*This element is mandatory when the entity account holder is an owner documented FI (FATCA101) or passive NFFE with US owner(s) (FATCA102)	NoSubstanOwner	ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:SubstantialOwner/ftc:Individual ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:SubstantialOwner/ftc:organisation
6.4.7 Payment  6.4.7.1 Type	Type			ftc:FatcaPaymentType_EnumType	Required	Must be one of: • FATCA501 = Dividends • FATCA502 = Interest • FATCA503 = Gross Proceeds/Redemptions • FATCA504 = Other – FATCA. (Example: Foreign reportable amount)	XMLNotValid	ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:Payment/ftc:Payment_Type
6.4.7.3 PaymentAmount	PaymentAmnt			Sfa :MonAmnt_Type	Required	The amount of the payment may never be negative.	NEGPAYAMNT	ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:Payment/ftc:Payment_Type/ftc :PaymentAmnt

XML Schema v2.0 User Guide	Element	Attribute	Size	InputType	Requirement	BUSINESS RULES	TYPE ERROR ACK	Xpath
6.4.8 CARRef	CARRef			Xsd : StringMax 200_Type		The use of the element CARRef_Type is not allowed for a FATCA declaration to the Belgian tax authorities.	CARRefNotAllowed	ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:CARRef
6.3 NilReport	All elements of this section			ftc : CorrectableNilReport_Type		The use of the element NilReport is not allowed for a FATCA declaration via the MyMinFinPRO portal. Please use the « nihil-declaration » checkbox in this case	NilReportNotAllowed	ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:NilReport
6.5 Pool Report	All elements of this section			Ftc : CorrectablePoolReport_Type		Pooled reporting option is not authorized (Model 1 IGA)	PoolReport	ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:PoolReport
Prohibited and Restricted Characters	All elements and its content			xsd: file	Mandatory	Following special Characters are prohibited	CharNotAllowed	
						Combination SQL Keyword + character: > (&gt;) or ' (&apos ;) or " (&quot;)		
						(Double hash)--		
						(Slash Asterisk) /*		
						(Ampersand Hash) &#		
						(Ampersand) &		
						(Less Than) <		
						(Apostrophe) '		
(Quotation Mark) "								
(Greater Than) >								
Entire XML-file	All validations fields			xsd :file	Mandatory	An empty value or a blank space are unacceptable	MandatoryFieldNotPresent	<b>MessageSpec</b> ftc:FATCA_OECD/ftc:MessageSpec/sfa:SendingCompanyIN <b>ReportingFI</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingFI/sfa:Name ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingFI/sfa:TIN ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingFI/sfa:Address/sfa:AddressFix/sfa:City <b>Sponsor (if present)</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Sponsor/sfa:Name ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Sponsor/sfa:TIN ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Sponsor/sfa:Address/sfa:AddressFix/sfa:City <b>Intermediary (if Present)</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Intermediary/sfa:Name ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Intermediary/sfa:TIN ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Intermediary/sfa:Address/sfa:AddressFix/sfa:City <b>AccountReport</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:AccountNumber /ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:AccountBalance

							<p><b>AccountHolder</b>                      ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:AccountHolder/ftc:<b>Individual</b>/sfa:Name/sfa:FirstName                      ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:AccountHolder/ftc:<b>Individual</b>/sfa:Name/sfa:LastName                      ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:AccountHolder/ftc:<b>Individual</b>/sfa:TIN                      ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:AccountHolder/ftc:<b>Individual</b>/sfa:Address/sfa:AddressFix/sfa:City                      ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:AccountHolder/ftc:<b>Organisation</b>/sfa:Name                      ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:AccountHolder/ftc:<b>Organisation</b>/sfa:TIN                      ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:AccountHolder/ftc:<b>Organisation</b>/sfa:Address/sfa:AddressFix/sfa:City</p> <p><b>SubstantialOwner</b>                      ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:SubstantialOwner/ftc:<b>Individual</b>/sfa:Name/sfa:FirstName                      ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:SubstantialOwner/ftc:<b>Individual</b>/sfa:Name/sfa:LastName                      ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:SubstantialOwner/ftc:<b>Individual</b>/sfa:TIN                      ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:SubstantialOwner/ftc:<b>Individual</b>/sfa:Address/sfa:AddressFix/sfa:City                      ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:SubstantialOwner/ftc:<b>Organisation</b>/sfa:Name                      ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:SubstantialOwner/ftc:<b>Organisation</b>/sfa:TIN                      ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:SubstantialOwner/ftc:<b>Organisation</b>/sfa:Address/sfa:AddressFix/sfa:City</p>
Entire XML-file	Max. Size		xsd: file		100MB		XMLNotValid
Entire XML-file	Encoding		xsd: file		Encoding = "UTF-8"		NoUTF8

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**Additional Rules exclusively applicable to corrective files of type FATCA2 (corrected) and/or FATCA3 (VOID)**

XML Schema v2.0 User Guide	Element	Attribute	Size	InputType	Requirement	BUSINESS RULES	TYPE ERROR ACK	Xpath
3.7. CorrMessageRefId	CorrMessageRefId		Max. length = 200 characters	Xsd : string	Required	<ul style="list-style-type: none"> <li>Structuring the CorrMessageId in the following format: [BE][XXXXXXXXXX]_[YYYY-MM-DD]_[HH-MM-SS] where:                             <ul style="list-style-type: none"> <li>[XXXXXXXXXX] is the number BCE/KBO (Crossroads Bank for Enterprises) of the paying agent,</li> <li>YYYY: year,</li> <li>MM: month,</li> <li>DD: day sending / file generation FATCA</li> <li>HH-MM-SS: respectively, hours, minutes, seconds FATCA which the file was sent / generated</li> </ul> </li> </ul>	CorrMessRefIDNotUnique	ftc:FATCA_OECD/ftc:MessageSpec/sfa:CorrMessageRefId <b>Reporting FI:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingFI/ftc:DocSpec/ftc:CorrMessageRefId <b>if present Sponsor:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Sponsor/ftc:DocSpec/ftc:CorrMessageRefId <b>if present Intermediary:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Intermediary/ftc:DocSpec/ftc:CorrMessageRefId <b>AccountReport :</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:DocSpec/ftc:CorrMessageRefId
						<ul style="list-style-type: none"> <li>This data element must reference the unique and original MessageRefId that was present in the previous initial message subject to correction.</li> </ul>	NOMatchCorrMessRefID	
						<ul style="list-style-type: none"> <li>This data element must be present at all required locations within the XML-file.</li> </ul>	CorrMessRefIDNotPresent	
4.2.1 DocTypeIndic	DocTypeIndic			Ftc :FatcaDocTypeIndic_EnumType	Required	<ul style="list-style-type: none"> <li>Type of correction being submitted :</li> <li><b>FATCA2</b> = Corrected Data is only permitted in response to a record-level error NTF coming from the IRS to correct data.</li> <li><b>FATCA3</b> = Void Data in response to a specific type of record-level error NTF that indicates previously filed records should be voided or to cancel original records that were not required to file, spontaneously.</li> </ul> <p>Only one type of correcting data should be contained within a single message. Do not combine new, corrected, void and amended records or any combination within the same message.</p>	WrongCorrectiveDocTypeIndic	<b>Reporting FI:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingFI/ftc:DocSpec/ftc:DocTypeIndic <b>if present Sponsor:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Sponsor/ftc:DocSpec/ftc:DocTypeIndic <b>if present Intermediary:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Intermediary/ftc:DocSpec/ftc:DocTypeIndic <b>AccountReport:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:DocSpec/ftc:DocTypeIndic PoolReport: ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:PoolReport/ftc:DocSpec/ftc:DocTypeIndic
4.2.4. CorrDocRefId	CorrDocRefId		Min 21 characters and Max. 200 Characters	Xsd : string	Required	<ul style="list-style-type: none"> <li>Structuring the <b>CorrDocRefId</b> in the format : <b>&lt;Reporting FI GIIN&gt;.&lt;GUID&gt;</b> where :                             <ul style="list-style-type: none"> <li><b>&lt;Reporting FI GIIN&gt;</b> : is the GIIN for the reporting FI associated with the reporting group.</li> <li><b>(.)</b> : is a Period character</li> <li><b>&lt;GUID&gt;</b> : is a globally unique identifier</li> </ul> </li> </ul> <p><b>This data element must be a unique identifier.</b></p>	CorrDocRefIDNotUnique	<b>Reporting FI:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingFI/ftc:DocSpec/ftc:CorrDocRefId <b>if present Sponsor:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Sponsor/ftc:DocSpec/ftc:CorrDocRefId <b>if present Intermediary:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Intermediary/ftc:DocSpec/ftc:CorrDocRefId <b>AccountReport :</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:DocSpec/ftc:CorrDocRefId
						<ul style="list-style-type: none"> <li>This data element must reference the DocRefId from a previously filed report subject to correction and ensures the proper records are updated.</li> </ul>	NOMatchCorrDocRefID	
						<ul style="list-style-type: none"> <li>This data element must be present within all DocSpec_Types of the present and corrected elements.</li> </ul>	CorrDocRefIDNotPresent	
						<ul style="list-style-type: none"> <li>A record can only be corrected ( <b>based on a correction FATCA2</b>) in reply to a record level error-notification originating from the IRS.</li> </ul>	CorrDocRefIDNotExpected	
5. ReportingFI	ReportingFI			Ftc : CorrectableReportOrganisation_Type	Required	<ul style="list-style-type: none"> <li>Filer information for a reportingFI (or Sponsor/Intermediary if any) cannot be corrected or voided alone, only a whole record can be changed.</li> <li>One corrective file can correct more than one previous message. In this case, the ReportingFI node has to be</li> </ul>	NOSeparateRFINodePresent	<b>Reporting FI</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingFI

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						repeated within the corrective message for each individual message that has been corrected.		
<b>7. Correcting and Voiding Records</b>	DocSpecs			Ftc : DocSpec_Type	Required	<p><u>Association of an original record with a corrected record :</u></p> <ul style="list-style-type: none"> <li>• <b>FATCA2</b> : All data element fields from an original record must be present within the corrected record. A corrected record rectifies an existing record from a previously filed report that contains record-level errors according to the validation process of the IRS.</li> <li>• <b>FATCA3</b> : All fields in a voided record must match or have the same values as the original record. Some type of record-level errors require to void the original record and submit new data subsequently.</li> </ul>	<i>ContentCorrRecordNotComplete/ContentCorrRecordTooExtensive</i>	<p><b>Reporting FI:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingFI/ftc:DocSpec</p> <p><b>if present Sponsor:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Sponsor/ftc:DocSpec</p> <p><b>if present Intermediary:</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:Intermediary/ftc:DocSpec</p> <p><b>AccountReport :</b> ftc:FATCA_OECD/ftc:FATCA/ftc:ReportingGroup/ftc:AccountReport/ftc:DocSpec</p>
						A corrected record ( <b>FATCA2</b> ) is only permitted when a record level error notification was received for an original record within a original message. As long as the IRS has not subject the original message to a business validation, correction and void records are not allowed.	<i>StatusInitialMessageNO K</i>	

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